

8-13-01

GAU 2673

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Porter, John D.; Pearson, Roger A.; Kajiwara, Kazuo; Kato, Haruo;  
Pan, Lawrence S.; Pei, Shiyu; Fahlen, Theodore S.  
Assignee: Candescant Technologies Corporation and Sony Corporation  
Title: Structure And Fabrication Of Light-Emitting Device Having Partially  
Coated Light-Emissive Particles  
Serial No.: 09/823,815 Filing Date: March 30, 2001  
Examiner: Unknown Group Art Unit: 2673  
Docket No.: CT-F139 US

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San Jose, California  
August 9, 2001

COMMISSIONER FOR PATENTS  
Washington, D.C. 20231

**INFORMATION DISCLOSURE STATEMENT**

**UNDER 37 CFR 1.97(b)**

Sir:

Pursuant to 37 CFR 1.56, 1.97, and 1.98, the documents listed on the accompanying substitute PTO Form 1449 are called to the attention of the Examiner for the above patent application. Copies of these documents are enclosed.

Further enclosed is an English abstract of Japanese Patent Publication 9-82245.

Note the following. International Patent Publication WO 99/00822 corresponds to U.S. Patent 5,945,780. Col. 17, 18, 21, 22 and 25 of U.S. Patent 5,548,181 deal with gettering in connection with Figs. 94, 106a, 106b, and 118 - 120.

The present application deals with material which, in part, is similar to part of the material dealt with in each of the following U.S. patent applications:

- a. Curtin et al., No. 09/698,696, filed 27 October 2000, Attorney Docket No. CT-A131 US, and

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b. Cummings et al., No. 09/823,872, filed 30 March 2001, Attorney  
Docket No. CT-F137 US.

Copies of those two patent applications are enclosed.

Citation of the above documents shall not be construed as:

1. an admission that the documents are necessarily prior art with respect to the instant invention;
2. a representation that a search has been made; or
3. an admission that the information cited herein is, or is considered to be, material to patentability as defined in 37 CFR 1.56(b).

EXPRESS MAIL LABEL NO:

**EL 699 357 891 US**

Respectfully submitted,

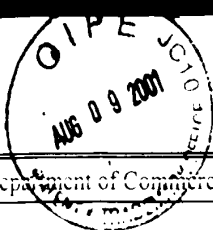
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| U.S. Department of Commerce, Patent and Trademark Office  |    |                 |                 |                 | Atty Docket No.           |          | Serial No.                 |  |
|   |    |                 |                 |                 | CT-F139 US                |          | 09/823,815                 |  |
| INFORMATION DISCLOSURE STATEMENT BY APPLICANT   |    |                 |                 |                 | Applicants: Porter et al. |          |                            |  |
|   |    |                 |                 |                 | Filing Date               |          | Group                      |  |
|   |    |                 |                 |                 | March 30, 2001            |          | 2673                       |  |
| U.S. Patent Documents   |    |                 |                 |                 |                           |          |                            |  |
| *Examiner Initial   |    | Document Number | Date            | Name            | Class                     | Subclass | Filing Date If Appropriate |  |
|   | AA | 3,965,278       | 06/22/76        | Duinker et al.  | 427                       | 54       |                            |  |
|   | AB | 4,975,619       | 12/04/90        | Datta et al.    | 313                       | 466      |                            |  |
|   | AC | 5,012,155       | 04/30/91        | Datta et al.    | 313                       | 461      |                            |  |
|   | AD | 5,453,659       | 09/26/95        | Wallace et al.  | 313                       | 495      |                            |  |
|   | AE | 5,477,105       | 12/19/95        | Curtin et al.   | 313                       | 422      |                            |  |
|   | AF | 5,498,925       | 03/12/96        | Bell et al.     | 313                       | 497      |                            |  |
|   | AG | 5,520,563       | 05/28/96        | Wallace et al.  | 445                       | 24       |                            |  |
|   | AH | 5,548,181       | 08/20/96        | Jones           | 313                       | 309      |                            |  |
|   | AI | 5,576,596       | 11/19/96        | Curtin et al.   | 313                       | 422      |                            |  |
|   | AJ | 5,606,225       | 02/25/97        | Levine et al.   | 315                       | 169.3    |                            |  |
|   | AK | 5,614,785       | 03/25/97        | Wallace et al.  | 315                       | 496      |                            |  |
|   | AL | 5,628,662       | 05/13/97        | Vickers et al.  | 445                       | 24       |                            |  |
|   | AM | 5,656,889       | 08/12/97        | Niiyama et al.  | 313                       | 553      |                            |  |
|   | AN | 5,689,151       | 11/18/97        | Wallace et al.  | 313                       | 495      |                            |  |
|   | AO | 5,693,438       | 12/02/97        | Liu et al.      | 430                       | 28       |                            |  |
|   | AP | 5,835,991       | 11/10/98        | Niiyama et al.  | 313                       | 553      |                            |  |
|   | AQ | 5,844,361       | 12/01/98        | Petersen et al. | 313                       | 495      |                            |  |
|   | AR | 5,849,442       | 12/15/98        | Liu et al.      | 430                       | 28       |                            |  |
|   | AS | 5,859,502       | 01/12/99        | Spindt et al.   | 315                       | 169.3    |                            |  |
|   | AT | 5,866,978       | 02/02/99        | Jones et al.    | 313                       | 495      |                            |  |
|   | AU | 5,869,928       | 02/09/99        | Liu et al.      | 313                       | 495      |                            |  |
|   | AV | 5,879,795       | 03/09/99        | Haase et al.    | 428                       | 323      |                            |  |
|   | AW | 5,931,713       | 08/03/99        | Watkins et al.  | 445                       | 55       |                            |  |
|   | AX | 5,936,342       | 08/10/99        | Ono et al.      | 313                       | 495      |                            |  |
|   | AY | 5,945,780       | 08/31/99        | Ingle et al.    | 313                       | 495      |                            |  |
|   | AZ | 5,982,082       | 11/09/99        | Janning         | 313                       | 336      |                            |  |
|   | BA | 6,049,165       | 04/11/00        | Spindt et al.   | 313                       | 495      |                            |  |
|   | BB | 6,084,345       | 07/04/00        | Janning         | 313                       | 495      |                            |  |
| Examiner  |    |                 | Date Considered |                 |                           |          |                            |  |
| *EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with your communication to applicant. |    |                 |                 |                 |                           |          |                            |  |

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|---|----|--|----------|---------|-----------------|----------|-------------|----|
| U.S. Department of Commerce, Patent and Trademark Office  |    |  |          |         | Atty Docket No. |          | Serial No.  |    |
|   |    |  |          |         | CT-F139 US      |          | 09/823,872  |    |
| INFORMATION DISCLOSURE STATEMENT BY APPLICANT   |    |  |          |         | Applicants      |          |             |    |
| (Use several sheets if necessary)   |    |  |          |         | Porter, et al.  |          |             |    |
|   |    |  |          |         | Filing Date     |          | Group       |    |
|   |    |  |          |         | March 30, 2001  |          | 2673        |    |
| Foreign Patent Documents  |    |  |          |         |                 |          |             |    |
|   |    |  |          |         |                 |          | Translation |    |
|   |    | Document   | Date     | Country | Class           | Subclass | Yes         | No |
|   | BC | WO 99/00822  | 01/07/99 | PCT     |                 |          |             |    |
|   | BD | 996,141 A2   | 04/26/00 | Europe  |                 |          |             |    |
|   | BE | 09-082245  | 03/28/97 | Japan   |                 |          |             |    |
|   | BF |  |          |         |                 |          |             |    |
|   | BG |  |          |         |                 |          |             |    |
|   | BH |  |          |         |                 |          |             |    |
|   | BI |  |          |         |                 |          |             |    |
|   | BJ |  |          |         |                 |          |             |    |
|   | BK |  |          |         |                 |          |             |    |
|   | BL |  |          |         |                 |          |             |    |
| OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)  |    |  |          |         |                 |          |             |    |
|   | BM | Levy et al, "Phosphors For Full-Color Microtips Fluorescent Displays," International Display Research Conference, 15-17 October 1991, pp. 20-23. |          |         |                 |          |             |    |
|   | BN |  |          |         |                 |          |             |    |
|   | BO |  |          |         |                 |          |             |    |
|   | BP |  |          |         |                 |          |             |    |
|   | BQ |  |          |         |                 |          |             |    |
|   | BR |  |          |         |                 |          |             |    |
| Examiner  |    |  |          |         |                 |          |             |    |
| Date Considered   |    |  |          |         |                 |          |             |    |
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